Space and move-optimal Arbitrary Pattern Formation on infinite rectangular grid by Oblivious Robot Swarm

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Abstract. Arbitrary Pattern Formation (APF) is a fundamental coordination problem in swarm robotics. It requires a set of autonomous robots (mobile computing units) to form any arbitrary pattern (given as input) starting from any initial pattern. The APF problem is well-studied in both continuous and discrete settings. This work concerns the discrete version of the problem. A set of robots is placed on the nodes of an infinite rectangular grid graph embedded in a euclidean plane. The movements of the robots are restricted to one of the four neighboring grid nodes from its current position. The robots are autonomous, anonymous, identical, and homogeneous, and operate Look-Compute-Move cycles. Here we have considered the classical \mathcal{OBLOT} robot model, i.e., the robots have no persistent memory and no explicit means of communication. The robots have full unobstructed visibility. This work proposes an algorithm that solves the APF problem in a fully asynchronous scheduler under this setting assuming the initial configuration is asymmetric. The considered performance measures of the algorithm are space and number of moves required for the robots. The algorithm is asymptotically move-optimal. A definition of the space-complexity is presented here. We observe an obvious lower bound \mathcal{D} of the space complexity and show that the proposed algorithm has the space complexity $\mathcal{D} + 4$. On comparing with previous related works, we show that this is the first proposed algorithm considering \mathcal{OBLOT} robot model that is asymptotically move-optimal and has the least space complexity which is almost optimal.

Keywords: Distributed Algorithms \cdot Oblivious robots \cdot Optimal Algorithms \cdot Swarm robotics \cdot Space optimisation \cdot Rectangular grid

1 Introduction

Swarm robotics involves a group of simple computing units referred to as *robots* that operate autonomously without having any centralized control. Moreover, the robots are anonymous (no unique identifier), homogeneous (all robots execute the same algorithm), and identical (physically indistinguishable). Generally

on activation, a robot first takes a snapshot of its surroundings. This phase is called a LOOK phase. Then based on the snapshot an inbuilt algorithm determines a destination point. This phase is called a COMPUTE phase. Finally, in MOVE phase it moves towards the computed destination. These three phases together are called a LOOK-COMPUTE-MOVE (LCM) cycle of a robot.

Through collaborative efforts, these robot swarms can accomplish different tasks such as gathering at a specific point (Gathering), configuring into predetermined patterns (Pattern Formation), navigating networks (Exploration), etc. Presently, the field of robotics research is witnessing significant enthusiasm for swarm robots. The inherent decentralized characteristics of these algorithms provide swarm robots with a notable advantage, as distributed algorithms are both easily scalable and more resilient in the face of errors. Furthermore, swarm robots boast a multitude of real-world applications, including but not limited to tasks like area coverage, patrolling, network maintenance, etc.

In order to accomplish specific tasks, robots require some computational capabilities, which can be determined by various factors such as memory, communication, etc. With respect to memory and communication, the literature identifies two primary robot models. The first one is called the \mathcal{OBLOT} model. In this model, the robots are devoid of persistent memory and communication abilities. Another robot model is the \mathcal{LUMI} model where the robots are equipped with a finite number of lights that can take a finite number of different colors. These colors serve as persistent memory (as a robot can see its own color) and communication architecture (as the colors of lights are visible to all other robots).

The responsibility for activating robots rests with an entity referred to as the Scheduler. Within the existing literature, three primary types of schedulers emerge: Fully-Synchronous (\mathcal{FSYNC}), Semi-Synchronous (\mathcal{SSYNC}), and Asynchronous (\mathcal{ASYNC}). In the case of fully synchronous and semi-synchronous schedulers, time is partitioned into rounds of uniform length. The duration of the Look, Compute, and Move phases for all activated robots are identical. Under a fully-synchronous scheduler, all robots become active at the onset of each round, but in a semi-synchronous setup, not all robots may activate simultaneously in a given round. In an asynchronous scheduler, round divisions are absent. At any given moment, a robot can be either idle or engaged in any of the Look, Compute, or Move phases. The duration of these phases and the spans of robot idleness are finite but unbounded.

The primary focus of this study is to solve the Arbitrary Pattern Formation (APF) problem on an infinite rectangular grid while minimizing spatial utilization. The APF problem involves a group of robots situated within an environment, aiming to create a designated pattern. This pattern is conveyed to each robot as a set of points within a coordinate system as an input. Notably, these robots lack any global consensus regarding coordinates. This problem has been extensively studied in the euclidean plane ([2,3,4,6,7,8,15,16]). Bose et al. [1] first proposed this problem on a rectangular grid. The rectangular grid is a natural discretization of the plane. To the best of our knowledge, on the discrete domain, this problem has been studied in [1,5,9,11,10,12,11,14]. The primary

approach to tackle this issue involves establishing a consensus on a shared coordinate system. Subsequently, the pattern is translated and embedded into this agreed-upon coordinate framework. The robots then navigate to their target positions while maintaining adherence to the established consensus. In this paper, the focus is placed on an environment characterized by an infinite rectangular grid. In the upcoming subsection, we delve into the reasons behind the introduction of spatial constraint in the context of the arbitrary pattern formation (APF) problem.

1.1 Motivation

In the majority of previous studies, the implementation of this problem on a grid necessitates a substantial allocation of space (space of a configuration formed by a set of robots is the dimension of the smallest enclosing square of the configuration), even when both the initial and target configurations have minimal spatial requirements. This promptly gives rise to a lot of problems.

To begin with, in the scenario where the grid is of bounded dimensions, it is possible that certain patterns cannot be formed, even if robots are initially located within the bounded grid and the target pattern could potentially fit within the grid. This limitation arises due to the existence of intermediate configurations that demand a spatial extent that cannot be accommodated within the confined grid. Moreover, when the spatial demand for an APF algorithm on a grid increases, the count of patterns that can be formed within a bounded grid becomes noticeably fewer compared to the count of patterns formable on the same grid with a lower space requirement. To be more specific, patterns that are 'big enough' can not be formed if the space requirement is 'big' on a bounded grid. So, the requirement of large space compromises better utilization of the space.

Moreover, even if complete visibility is entertained for theoretical considerations, this assumption does not hold practical validity within an unbounded environment. In the context of a bounded region, it can be applied with the premise that the environment is finite, and the entire environment falls within the visibility range of each robot. However, introducing the concept of an infinite grid disrupts this assumption. In situations where the grid lacks bounds, it is possible that due to substantial spatial requirements, certain robots might stray beyond the visibility range of others.

To the best of our knowledge, there remains an absence of work that addresses the APF challenge within the constraints of limited visibility, an asynchronous scheduler, and the absence of any global coordinate agreement. Thus in this paper, the problem of APF on a grid with minimal spatial requirement has been considered.

2 Related work and our Contribution

2.1 Related Work

4

The APF problem has been investigated in both continuous and discrete settings. In the continuous setting, the problem has been investigated on the euclidean plane [2,3,4,6,7,8,15,16] and on a continuous circle [13]. In a discrete setting, the problem is first studied in [1]. Here, the authors solved the problem deterministically on an infinite rectangular grid with \mathcal{OBLOT} robots in an asynchronous scheduler. Later in [5], the authors studied the problem on a regular tessellation graph. In [1] authors count the total required move asymptotically and also give an asymptotic lower bound for the move-complexity i.e., total number of moves required to solve the problem. In [5], authors did not count the total number of moves required for their proposed algorithm. In [9], the authors provided two deterministic algorithms for solving the problem in an asynchronous scheduler. The first algorithm solves the APF problem for \mathcal{OBLOT} model. The move-complexity of this algorithm matches the asymptotic lower bound given in [1]. Thus, this algorithm is asymptotically move-optimal. The second algorithm solves the problem for the $\mathcal{L}\mathcal{U}\mathcal{M}\mathcal{I}$ model, and this algorithm is asymptotically move-optimal. Further authors showed that the algorithm is time-optimal, i.e., the number of epochs (a time interval in which each robot activates at least once) to complete the algorithm is asymptotically optimal. In [11], the authors provided a deterministic algorithm for solving the problem with opaque (non-transparent) point robots in \mathcal{LUMI} model with an asynchronous scheduler assuming one-axis agreement. In [10], the authors proposed two randomized algorithms for solving the APF problem in an asynchronous scheduler. The second algorithm works for the \mathcal{OBLOT} model. This algorithm is asymptotically move-optimal and timeoptimal. The randomization in this algorithm is only used to break any present symmetry in the initial configuration. If the initial configuration is asymmetric then the algorithm is deterministic. The first algorithm works for opaque point robots with \mathcal{LUMI} model. This algorithm is also asymptotically move-optimal and time-optimal. In [12], the authors solve the problem with opaque fat robots (robots having nontrivial dimension) with \mathcal{LUMI} model in an asynchronous scheduler assuming one-axis agreement. In [14] authors provide an asymptotically move-optimal algorithm solving this problem with robots in $\mathcal{L}\mathcal{U}\mathcal{M}\mathcal{I}$ model. The work also considered a special requirement and showed that the algorithm is space-optimal. In the next section, we formally state the space complexity of an algorithm and discuss the space complexity of the mentioned works.

2.2 Space Complexity of APF Algorithms in Rectangular Grid

In all the works mentioned for APF, finding a solution was the first challenge. Then the work tilted towards finding optimal solutions, considering different aspects. So far, the aspects considered were the total number of moves made by the robots, the total time to solve the problem, and finally in [14] authors considered the total space required to execute an algorithm. In Definition 1, we

define the space complexity of an algorithm executed by a set of robots on a rectangular grid.

Definition 1. The space complexity of an algorithm executed by a set of robots on a rectangular grid as the minimum dimension of the squares (whose sides are parallel with the grid lines) such that no robot steps out of the square throughout the execution of the algorithm.

Space Complexity of earlier APF algorithms and comparison with proposed algorithm Let the smallest enclosing rectangle (SER), the sides of which are parallel to grid lines, of the initial configuration and pattern configuration formed by the robots, respectively, be $m \times n$ and $m' \times n'$. Let $\mathcal{D} = \max\{m, n, m', n'\}$. Then the minimum space complexity for an algorithm to solve the APF problem is \mathcal{D} .

First, we look at the space complexity of the algorithms solving APF for the \mathcal{OBLOT} model. The algorithm proposed in [1] has space-complexity at least $2\mathcal{D}$ in the worst case as one of the leaders, named tail moves far away from the rest of the configuration. The first algorithm proposed in [9] is for the \mathcal{OBLOT} model. It requires the robots to form a compact line. The space complexity of these algorithms is \mathcal{D}^2 in the worst case. The second randomized algorithm in [10] is for the \mathcal{OBLOT} model. In this algorithm, the leader robot moves upwards far away from the rest of the configuration. Thus, it has a space complexity of at least $30\mathcal{D}$ in the worst case.

Next, we focus on the space complexity of the algorithms solving APF for the $\mathcal{L}\mathcal{U}\mathcal{M}\mathcal{I}$ model. The second algorithm proposed in [9] is for the $\mathcal{L}\mathcal{U}\mathcal{M}\mathcal{I}$ model. This algorithm requires a step-looking configuration where each robot occupies a unique vertical line. Therefore, the space complexity of the algorithm can be \mathcal{D}^2 in the worst case. This algorithm needs each robot to have a light with three distinct colors. The first randomized algorithm in [10] for $\mathcal{L}\mathcal{U}\mathcal{M}\mathcal{I}$ model has space-complexity at least $\mathcal{D}+2$. The authors also did not count the number of lights and colors required for the robots. With a closer look, we observe that this algorithm uses at least 31 distinct colors. Further, deterministic APF algorithms proposed in [12,11] solved it for obstructed visibility. These works also need the robots to form a compact line, hence the space complexity of these algorithms is \mathcal{D}^2 in the worst case. The proposed algorithm in [14] has space-complexity $\mathcal{D}+1$ and it requires three distinct colors.

We say that the first algorithm proposed in [10] and algorithm proposed in [14] are *almost* space-optimal, as the space-complexity is of the form $\mathcal{D} + c$, \mathcal{D} is a lower bound of the space-complexity and c is a constant independent of \mathcal{D} .

Our Contribution This work presents a deterministic algorithm for solving APF in an infinite rectangular grid which is almost space-optimal as well as asymptotically move-optimal. Precisely, the space complexity for the algorithm is $\mathcal{D}+4$ and move-complexity of the algorithm is $O(k\mathcal{D})$, where k is the number of robots. The robot model is classical \mathcal{OBLOT} model and scheduler is fully asynchronous. To the best of our knowledge so far, this is the first deterministic

algorithm solving APF problem in \mathcal{OBLOT} robot model that has the least space-complexity, optimal move-complexity (See Table 1 for comparison with the previous works).

Work	Model	Visibility	Deterministic/	Space-
			Randomised	complexity
[1]	OBLOT	Unobstructed	Deterministic	$\geq 2\mathcal{D}$
1 st algorithm in	OBLOT	Unobstructed/	Deterministic	\mathcal{D}^2
[9]				
2^{nd} algorithm in	OBLOT	Unobstructed	Randomised ¹	$\geq 30\mathcal{D}$
[10]				
2^{nd} algorithm in	LUMI	Unobstructed	Deterministic	\mathcal{D}^2
[9]				
1 st algorithm in	LUMI	Obstructed	Randomised	$\geq \mathcal{D}+2$
[10]				
[11]	LUMI	Obstructed	Deterministic	\mathcal{D}^2
[12]	LUMI	Obstructed (fat	Deterministic	\mathcal{D}^2
		robot)		
[14]	LUMI	Unobstructed	Deterministic	D+4
Algorithm in	OBLOT	Unobstructed	Deterministic	D+1
this paper				

Table 1. Comparison table

Outline of the paper In the Section 3, the model and problem statement is provided. In Section 4, the problem is addressed on a discrete infinite line. In Section 5, the proposed algorithm for the rectangular grid is provided. Finally, Section 6 concludes the paper.

3 Model and Problem Statement

Robot The robots are assumed to be identical (indistinguishable from appearance), anonymous (no unique identifier), autonomous (no centralized control), and homogeneous (they execute the same deterministic algorithm). The robots are equipped with technology so that a robot can determine the positions of all other robots using a local coordinate system (chosen by the robot). Robots are oblivious, i.e., they do not have any persistent memory to remember previous configurations or past actions. Robots do not have any explicit means of communication with other robots. The robots are modeled as points on an infinite rectangular grid graph embedded on a plane. Initially, robots are positioned on distinct grid nodes. A robot chooses the local coordinate system such that the axes are parallel to the grid lines and the origin is its current position. Robots do not agree on a global coordinate system. The robots do not have a global sense of clockwise direction. A robot can only rest on a grid node. Movements of the robots are restricted to the grid lines, and through a movement, a robot can choose to move to one of its four adjacent grid nodes.

¹ The randomisation is only used to break any symmetry present in the initial configuration

Look-Compute-Move Cycle A robot has two states: sleep/idle state and active state. On activation, a robot operates in Look-Compute-Move (LCM) cycles, which consist of three phases. In the Look phase, a robot takes a snapshot of its surroundings and gets the position of all the robots. We assume that the robots have full, unobstructed visibility. In the Compute phase, the robots run an inbuilt algorithm that takes the information obtained in the Look phase and obtains a position. The position can be its own or any of its adjacent grid nodes. In the Move phase, the robot either stays still or moves to the adjacent grid node as determined in the Compute phase.

Scheduler The robots work asynchronously. There is no common notion of time for robots. Each robot independently gets activated and executes its LCM cycle. In this scheduler, the Compute phase and Move phase of robots take a significant amount of time. The time length of LCM cycles, Compute phases, and Move phases of robots may be different. Even the length of two LCM cycles for one robot may be different. The gap between two consecutive LCM cycles, or the time length of an LCM cycle for a robot, is finite but can be unpredictably long. We consider the activation time and the time taken to complete an LCM cycle to be determined by an adversary. In a fair adversarial scheduler, a robot gets activated infinitely often.

Grid Terrain and Configurations Let \mathcal{G} be an infinite rectangular grid graph embedded on \mathbb{R}^2 . The \mathcal{G} can be formally defined as a geometric graph embedded on a plane as $\mathcal{P} \times \mathcal{P}$, which is the cartesian product of two infinite (from both ends) path graphs \mathcal{P} . Suppose a set of k > 2 robots is placed on \mathcal{G} . Let f be a function from the set of vertices of \mathcal{G} to $\mathbb{N} \cup \{0\}$, where f(v) is the number of robots on the vertex v of \mathcal{G} . Then the pair (\mathcal{G}, f) is said to be a *configuration* of robots on \mathcal{G} . For the initial configuration (\mathcal{G}, f) , we assume $f(v) \leq 1$ for all v.

Symmetries Let (\mathcal{G}, f) be a configuration. A symmetry of (\mathcal{G}, f) is an automorphism ϕ of the graph \mathcal{G} such that $f(v) = f(\phi(v))$ for each node v of \mathcal{G} . A symmetry ϕ of (\mathcal{G}, f) is called trivial if ϕ is an identity map. If there is no nontrivial symmetry of (\mathcal{G}, f) , then the configuration (\mathcal{G}, f) is called a asymmetric configuration and otherwise a symmetric configuration. Note that any automorphism of $\mathcal{G} = \mathcal{P} \times \mathcal{P}$ can be generated by three types of automorphisms, which are translations, rotations, and reflections. Since there are only a finite number of robots, it can be shown that (\mathcal{G}, f) cannot have any translation symmetry. Reflections can be defined by an axis of reflection that can be horizontal, vertical, or diagonal. The angle of rotation can be of 90° or 180°, and the center of rotation can be a grid node, the midpoint of an edge, or the center of a unit square. We assume the initial configuration to be asymmetric. The necessity of this assumption is discussed after the problem statement.

Problem Statement Suppose a swarm of robots is placed in an infinite rectangle grid such that no two robots are on the same grid node and the configuration formed by the robots is asymmetric. The Arbitrary Pattern Formation (APF)

problem asks to design a distributed deterministic algorithm following which the robots autonomously can form any arbitrary but specific (target) pattern, which is provided to the robots as an input, without scaling it. The target pattern is given to the robots as a set of vertices in the grid with respect to a cartesian coordinate system. We assume that the number of vertices in the target pattern is the same as the number of robots present in the configuration. The pattern is considered to be formed if the present configuration is a configuration and is the same up to translations, rotations, and reflections. The algorithm should be collision-free, i.e., no two robots should occupy the same node at any time, and two robots must not cross each other through the same edge.

Admissible Initial configurations We assume that in the initial configuration, there is no multiplicity point, i.e., no grid node that is occupied by multiple robots. This assumption is necessary because all robots run the same deterministic algorithm, and two robots located at the same point have the same view. Thus, it is deterministically impossible to separate them afterward. Next, suppose the initial configuration has a reflectional symmetry with no robot on the axis of symmetry or a rotational symmetry with no robot on the point of rotation. Then it can be shown that no deterministic algorithm can form an asymmetric target configuration from this initial configuration. However, if the initial configuration has reflectional symmetry with some robots on the axis of symmetry or rotational symmetry with a robot at the point of rotation, then symmetry may be broken by a specific move of such robots. But making such a move may not be very easy as the robots' moves are restricted to their adjacent grid nodes only. In this work, we assume the initial configuration to be asymmetric.

4 Space-optimal Arbitrary pattern formation on a grid line

In this section, we solve this problem on a discrete straight line. The proposed algorithm is space-optimal. The space complexity of an algorithm in this case is defined as the minimum length of the line segment such that no robot steps out of the line segment throughout the execution of the algorithm. Suppose we have an infinite path graph $\mathcal{P} = \{(i, i+1) | i \in \mathbb{Z}\}$ embedded on a straight line. Suppose k robots are placed on \mathcal{P} at distinct nodes. A configuration is defined similarly as done in the previous section by considering \mathcal{G} as \mathcal{P} . The target pattern is given as a set of k distinct positive integers. We define the length of a line segment as the number of grid points on it. Suppose A_iB_i is the smallest line segment in length such that all robots are on A_iB_i in the initial configuration and p-1 is the hop distance of the farthest two target positions. Then, $\max\{|A_iB_i|, p\}$ is the minimal space required for any algorithm that solves on a line.

Leader election and Global coordinate setup We assume the initial configuration of robots does not have reflectional symmetry. First, we set up a global coordinate system that can be agreed upon by all the robots. Suppose \mathcal{C} be a

configuration having no reflectional symmetry. For a configuration, we define the smallest enclosing line segment (SEL) to be the smallest line segment in length that contains all the robots in the configuration. Let $\mathcal{L} = AB$ be the SEL of the configuration \mathcal{C} . Consider two binary strings of length |AB| (the length of a line segment is the number of grid points on the line segment) called λ_A and λ_B with respect to the endpoints of the \mathcal{L} . Let $\lambda_A = \{a_i\}_{i=1}^{|AB|}$ such that $a_i = 1$ if the node on the AB line segment having distance i-1 from A is occupied by a robot. Similarly, we define λ_B . Since \mathcal{C} has no reflectional symmetry, so λ_A and λ_B are different. Therefore one of them is lexicographically smaller than the other. Let suppose λ_A be lexicographically smaller than λ_B . Then A is considered as the origin and \overrightarrow{AB} is considered as the positive (right) direction. Also, the robot located at A is said to be head and the robot located at B is said to be tail. We denote $\mathcal{C} \setminus \{\text{tail}\}$ as \mathcal{C}' .

Target Embedding Next we embed the pattern in the following way. Considering the integers given in the target pattern on the number line proceed similarly as done above for \mathcal{C} . Let \mathcal{C}_{target} be the target configuration and A'B' be the SEL of \mathcal{C}_{target} . Consider two binary strings $\lambda_{A'}$ and $\lambda_{B'}$. If both the strings are equal then (the target pattern has a reflectional symmetry) then embed the pattern such that all the target positions are on the right side of the origin and left most target position is on the origin. If the strings are different then we suppose $\lambda_{A'}$ is the lexicographically smaller one. In this case, embed the pattern such that A = A' and all the target positions are on the right side of the origin. After embedding the farthest target position from the origin is said to be tail-target and denoted as t_{target} . We define, $\mathcal{C}'_{target} = \mathcal{C}_{target} \setminus \{t_{target}\}$.

Proposed APF algorithm a line Next, we described our proposed algorithm APFLINE. If in a snapshot of a robot, another robot is seen on an edge then the robot discards the snapshot and goes to sleep. Therefore, for simplicity, we assume that any snapshot taken by a robot contains a still configuration \mathcal{C} . The head never moves in the algorithm. Firstly, if $\mathcal{C}' = \mathcal{C}'_{target}$ then the tail moves to t_{target} . Otherwise, if t_{target} is at the right of the tail, then the tail moves right and the other robot remains static. If $\mathcal{C}' \neq \mathcal{C}'_{target}$, and the tail is at the t_{target} or at the right of the t_{target} , then inner robot move to make $\mathcal{C}' = \mathcal{C}'_{target}$. Let r_i be the i^{th} robot from the left and t_i be the i^{th} target position from the left. We try to design the algorithm such that r_i moves to t_i . The r_1 robot is the head and it is already on t_1 . If t_i is towards the left of r_i and the left adjacent grid node is empty, then an inner robot r_i moves right if t_i is at the right of the r_i and the right adjacent grid node is empty. The pseudo-code of the algorithm is given in Algorithm 1.

Correctness of the Algorithm APFLINE For two finite binary strings λ_1 and λ_2 of same length, $\lambda_1 \prec (\preceq)\lambda_2$ shall denote that λ_1 is lexicographically less than (less or equal to) λ_2 . Next, we make a simple observation in Proposition 1 and then another observation in Proposition 2.

Algorithm 1: Appline 1 if $C' = C'_{target}$ then tail moves towards t_{target} ; if t_{target} is at the right of the tail then tail moves towards right; 4 6 $= r_i$ is an inner robot then 8 if t_i is at the left of r_i then if left adjacent grid node is empty then 9 r moves towards left; 10 else if for each inner robot r_j , t_j is at the right of the r_j then | if t_i is at the right of r_i then 11 12 13 if right adjacent grid node is empty then r moves towards right; 14

Proposition 1. Let C be a still configuration and AB the SEL of C. Let λ_A and λ_B be the binary strings for the two endpoints. Suppose an inner robot, say r, moves to its adjacent empty grid towards A. Let λ_A^{new} and λ_B^{new} be the new binary strings after the movement, then $\lambda_A \prec \lambda_A^{new}$ and $\lambda_B^{new} \prec \lambda_B$.

Proposition 2. Let C be a configuration and L = AB, the SEL of C such that $\lambda_B \leq \lambda_A$. Let the robot situated at B move outside the L and reach the point, say B', and the new configuration become, say C'. If λ'_A and $\lambda'_{B'}$ are the binary strings for C', then $\lambda'_{B'} \prec \lambda'_A$.

Proof. Let $\lambda_A=a_1a_2\ldots a_{n-1}a_n$ and $\lambda_B=b_1b_2\ldots b_{n-1}b_n$. Then according to the configuration $\mathcal{C}',\ \lambda_A'=a_1a_2\ldots a_{n-1}0a_n$ and $\lambda_{B'}'=b_10b_2\ldots b_{n-1}b_n$. Since the total number of robots present in the system is >2, so there exists the smallest i such that $2\leq i\leq n-1$ and $a_i=1$. If i=2, that is, $a_2=1$, then $\lambda_{B'}'\prec\lambda_A'$ because second entry of $\lambda_{B'}'$ is zero. If i>2, then $a_{i-1}=b_{i-1}=0$ is the i^{th} entry of $\lambda_{B'}'$ whereas i^{th} entry of λ_A' is $a_i=1$. The first i-1 corresponding entries of $\lambda_{B'}'$ and λ_A' are equal. Thus, $\lambda_{B'}'\prec\lambda_A'$.

Next let $\lambda_B\prec\lambda_A$. For this case, let j^{th} entry is the first entry from left

Next let $\lambda_B \prec \lambda_A$. For this case, let j^{th} entry is the first entry from left where λ_A and λ_B differ. Then $a_j = 1$ but $b_j = 0$, $a_i = b_i$ for all i < j. If $a_2 = 1$, then $\lambda'_{B'} \prec \lambda'_A$. Otherwise, if $a_2 = 0$ then from $\lambda_B \prec \lambda_A$, we have b_2 must be zero. Again if $a_3 = 1$, $\lambda'_{B'} \prec \lambda'_A$. Otherwise, if $a_3 = 0$ then b_3 must be zero from $\lambda_B \prec \lambda_A$. Similarly proceeding, we get $a_2 = b_2 = \dots a_{j-1} = b_{j-1} = 0$. Then j^{th} entry of λ'_A is 1 but j^{th} entry of $\lambda'_{B'}$ is $b_{j-1} = 0$. Thus, $\lambda'_{B'} \prec \lambda'_A$.

Next, in the Algorithm 1, there are so-called four types of movements. These four types are respectively in lines 2, 5, 10, and 14 of the Algorithm 1. The first two types are the movements by the tail and the other two types are movements by inner robots. In Lemma 1 and Lemma 2, it proved that through the movements of inner robots, the configuration remains asymmetric and the coordinate system does not change until the target pattern is formed. In Lemma 3, it is shown that after finite movements of inner robots, all the inner robots occupy

their respective target positions. In Lemma 4 and Lemma 5, it is shown that the movements by tails do not bring any symmetry in the configuration and the coordinate system remains unchanged until the target pattern is formed. Also, it is shown that, after a finite time the prescribed goal is achieved via the movements of the tail. Finally, in Theorem 1 the correctness of the Algorithm 1 is proved.

Lemma 1. If an inner robot moves left then the configuration remains asymmetric and the coordinate system does not change.

Proof. Let at time t, the head and tail robot is at A and B, respectively. Then $\lambda_B \prec \lambda_A$. Suppose, an inner robot moves towards the left and λ_A^{new} and λ_B^{new} be the updated respective binary strings. Then from Proposition 1, $\lambda_A \prec \lambda_A^{new}$ and $\lambda_B^{new} \prec \lambda_B$. Therefore, combining the three inequalities we get $\lambda_B^{new} \prec \lambda_A^{new}$. Thus, the new configuration is asymmetric, and the coordinate system does not change.

Lemma 2. If an inner robot moves rightwards according to the Algorithm 1, then the configuration remains asymmetric, and the coordinate system does not change unless the target pattern has been formed.

Proof. Let at time t, the head and tail robot is at A and B, respectively. Then $\lambda_B \prec \lambda_A$. Suppose, an inner robot r_k is about to move towards right according to the Algorithm 1 and after the movement λ_A^{new} and λ_B^{new} be the updated respective binary strings. According to the Algorithm 1 the scenario is, some inner robots are on their respective target positions, and the respective target positions of the rest inner robots are on their right side. The robot r_k is one of them. Also, at this time the tail is either at the t_{target} or at the right of t_{target} . Let's consider a configuration \mathcal{C}' considering the tail at B and all other target positions but t_{target} are occupied by robots. Let λ'_A and λ'_B be the binary strings for the end points A and B, respectively, in \mathcal{C}' . If t_{target} is at B then according to the target embedding $\lambda'_B \preceq \lambda'_A$. Otherwise, if B is at the right of the t_{target} , from Proposition 2 $\lambda'_B \prec \lambda'_A$. Thus combining both, we can say $\lambda'_B \preceq \lambda'_A \dots (1)$. The proof would be done if we show that $\lambda_B^{new} \prec \lambda_A^{new}$. On the contrary, if

The proof would be done if we show that $\lambda_B^{new} \prec \lambda_A^{new}$. On the contrary, if possible let $\lambda_A^{new} \preceq \lambda_B^{new} \ldots$ (2). Since the target pattern has not been formed, some inner robots still need to move right. Thus, from Proposition 1 we have $\lambda_B^{new} \prec \lambda_B' \ldots$ (3) and $\lambda_A' \prec \lambda_A^{new} \ldots$ (4). Then from (2) and (3), we have $\lambda_A^{new} \prec \lambda_B' \ldots$ (5). From (4) and (5), we have $\lambda_A' \prec \lambda_B'$, which contradicts (1).

Lemma 3. If at some time t, we have an asymmetric configuration C such that $C' \neq C'_{target}$, and the tail is at the t_{target} or at the right of the t_{target} , then after a finite time, through the movements of inner robots, $C' = C'_{target}$ becomes true.

Proof. If the inner robots successfully can move according to our algorithm then after a finite time all inner robots take their respective target positions making $C' = C'_{target}$ true. The matters that need to be taken care of are (1) the head and the tail robot remain head and tail, respectively (hence, the coordinate system remains unchanged), and (2) no collision or deadlock occurs throughout

the movement of the inner robots. From Lemma 1 and lemma 2, we have that throughout the execution of the algorithm 1 when the inner robots move, the head and tail remains head and tail respectively and hence the coordinate system remains unchanged. Next, According to the Algorithm 1 an inner robot only moves to its empty adjacent grid node. Thus, a collision can only happen if two robots move to the same node. Suppose, two inner robots r_i and r_{i+1} move to an empty node v. This implies, either t_i and t_{i+1} both are at v or t_i is at the right of t_{i+1} , which is not possible. Thus, no collision will occur. Then we show no deadlock will be created throughout the movement of the inner robots. A deadlock can occur if there are two inner robots r_i and r_{i+1} adjacent to each other, where r_i wants to move towards right and r_{i+1} is either at t_{j+1} or wants to move towards left. From a similar argument as before, this is not possible. \square

Lemma 4. If at some time t, we have an asymmetric configuration C such that $C' \neq C'_{target}$, and the tail is at the left of t_{target} , then after a finite number of moves by tail towards right the tail reaches t_{target} .

Proof. Let AB be the SEL of the \mathcal{C} such that the tail is at B. Let λ_A and λ_B be the binary strings in \mathcal{C} . Then $\lambda_B \prec \lambda_A$. Suppose the tail moves towards the right and moves to a point B', and the new configuration is \mathcal{C}' . Let λ'_A and $\lambda'_{B'}$ be the binary strings from the endpoints of the SEL of \mathcal{C}' . Then from Proposition 2, $\lambda'_{B'} \prec \lambda'_A$. Thus, after the movement of the tail towards the right, the configuration remains asymmetric and the tail robot remains the tail. Thus, after a finite move towards t_{target} , the tail reaches t_{target} .

Lemma 5. If at some time t, the configuration is C such that $C' = C'_{target}$ is true, then after a finite move of the tail towards t_{target} target pattern gets formed.

Proof. Let AB be the SEL of the configuration C such that the tail is situated at B. Suppose the embedding of the target t_{target} is at B_t . If B_t and B are one hop away from each other then after one movement of the tail target pattern will be formed. Suppose B_t and B are not adjacent to each other. According to the Algorithm 1 the tail moves towards B_t . Suppose after one movement the tail lands on the point B' and the configuration becomes C'. We need to show the tail remains the tail after moving to B'. Let λ'_A and $\lambda'_{B'}$ be the binary strings from the endpoints of the SEL of \mathcal{C}' . There are two exhaustive cases: The point B_t is on the line segment AB or not. If B_t is on the line segment AB then the tail moved left and also B_t is on the line segment AB'. From Proposition 2, $\lambda'_{B'} \prec \lambda'_{A}$. This gives that \mathcal{C}' is asymmetric and the tail robot remains tail in \mathcal{C}' . For the remaining case, we have B_t is not on the line segment AB. Then the tail moved right and also B_t is not on the line segment AB'. Since the tail was at B in C, so if λ_A and λ_B are the binary strings for C then $\lambda_B \prec \lambda_A$. Then from Proposition 2, a similar conclusion as the former case can be made. Thus, after each movement of the tail towards t_{target} the tail remains the tail until it reaches t_{target} , and after a finite number of movements tail reaches t_{target} that completes the target formation.

Theorem 1. From any asymmetric initial configuration, the algorithm 1 can form any target pattern on an infinite grid line within finite time under an asynchronous scheduler.

Proof. Let \mathcal{C} be an asymmetric initial configuration. If for the initial configuration, $\mathcal{C}' = \mathcal{C}'_{target}$ is true, then from Lemma 5 after a finite time target pattern gets formed. Suppose, initial configuration satisfy $\mathcal{C}' \neq \mathcal{C}'_{target}$, there can be two exhaustive cases. Either the tail is at the left side of the t_{target} or not. If the tail is at the left side of the t_{target} , then from Lemma 4 we have that after finite movements, the tail reaches the t_{target} . Thus after a finite time, we arrive at the configuration where $\mathcal{C}' \neq \mathcal{C}'_{target}$ is true and the tail is either on the t_{target} or on the right side of t_{target} . Then from Lemma 3 we have that, after finite time $\mathcal{C}' = \mathcal{C}'_{target}$ becomes true. Now at this time, if the tail is on the t_{target} then the target pattern has been formed. If the tail is at the right side of the t_{target} , then from Lemma 5, after a finite time target pattern gets formed. The flow of the algorithm is given in Fig. 1.

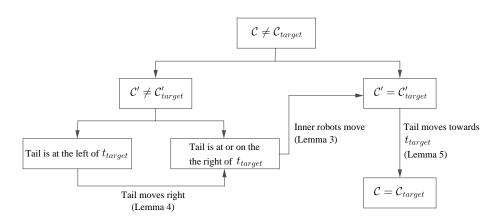


Fig. 1. Flow of the Algorithm APFLINE

Space and Move-complexity of Algorithm APFLINE Let AB be the SEL of the initial configuration and A'B' be the SEL of the target configuration. Let $\mathcal{D} = \max\{|AB|, |A'B'|\}$, then \mathcal{D} is the minimal space required for any APFLINE algorithm to execute on a grid line. Next, in Theorem 2, we prove that the algorithm APFLINE has space complexity \mathcal{D} , hence it is space-optimal. In Theorem 3, we give the move-complexity of the algorithm APFLINE.

Theorem 2. The algorithm APFLINE has space-complexity \mathcal{D} .

Proof. In this algorithm, the inner robots never step out of the line segment formed by the head and the tail robot. The head never moves in the algorithm.

So only the tail robot is responsible for taking more space. Let AB be the SEL of the initial configuration and A'B' be the SEL of the target configuration after embedding. Let C be a point such that C = B if $|AB| \ge |A'B'|$ and C = B' otherwise. Then $|AC| = \mathcal{D}$.

We have two exhaustive cases, C=B or C=B'. If C=B, then the tail stays on C until for the current configuration \mathcal{C} , $\mathcal{C}'=\mathcal{C}'_{target}$ becomes true. After that, the tail moves to B' where t_{target} is located via execution of line 2 of the algorithm, and, B' is on the line segment AC. Thus, if C=B then no robot steps out of the line segment AC. If C=B', then the tail moves from B to B' via execution of line 4 of the algorithm and it never moves afterward. Thus, if C=B' then no robot steps out of the line segment AC. Thus, the space complexity of the algorithm is $|AC|=\mathcal{D}$.

Theorem 3. The algorithm APFLINE has move-complexity less than $k\mathcal{D}$.

Proof. Except the head all robot r_i moves from its position (I_i) in the initial configuration to its target position (T_i) without repeating any node. Also, $|I_iT_i| < \mathcal{D}$. Thus, the total number of moves is less than $k\mathcal{D}$.

5 The Proposed App Algorithm on a rectangular grid

5.1 Agreement of a global coordinate system

Let \mathcal{C} be an asymmetric configuration. Consider the smallest enclosing rectangle (SER) containing all the robots where the sides of the rectangle are parallel to the grid lines. Let $\mathcal{R} = ABCD$ be the SER of the configuration, a $m \times n$ rectangle with $|AD| = m \geq n = |AB|$. The length of the sides of \mathcal{R} is considered the number of grid points on that side. If all the robots are on a grid line, then R is just a line segment. In this case, \mathcal{R} is considered a $m \times 1$ 'rectangle' with A = B, D = C, and AB = CD = 1.

For a side, say AB, of the \mathcal{R} we define a binary string, denoted as λ_{AB} , as follows. Let $(A = A_1, A_2, \ldots, A_m = D)$ be the sequence of grid points on the AD line segment and $(B = B_1, B_2, \ldots, B_m = C)$ be the sequence of grid points on the BC line segment. Scan the line segment AB from A to B. Then scan the line segments A_iB_i one by one in the increasing order of i. The direction of scanning the line segment A_iB_i is set as follows: Scan it from B_i to A_i if i is even and scan it from A_i to B_i if i is odd. While scanning, for each grid point put 0 or 1 according to whether it is empty or occupied.

If m > n > 1, then for each corner point A, B, C, and D, consider the binary strings λ_{AB} , λ_{BA} , λ_{CD} and λ_{DC} , respectively. If m = n > 1, then for each corner point, we have to associate two binary strings with respect to the two sides adjacent to the corner point. Then we have eight binary strings λ_{AB} , λ_{BA} , λ_{AD} , λ_{DA} , λ_{BC} , λ_{CB} , λ_{DC} and λ_{CD} . If any two strings of them are equal then it can be shown that C has a (reflectional or rotational) symmetry. Since C is asymmetric, we can find a unique lexicographically largest string. Let λ_{AB} be the lexicographically largest string, and then A is considered the leading corner

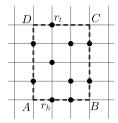


Fig. 2. ABCD is the SER of the configuration. $\lambda_{AB} = 011011010010011010100$ is the largest lexicographic string, and r_h and r_t are respectively the head and tail robots of the configuration.

of the configuration. The leading corner is taken as the origin, and \overrightarrow{AB} is as the x-axis, and \overrightarrow{AD} is as the y-axis.

If the \mathcal{R} is an $m \times 1$ rectangle, then λ_{AB} and λ_{BA} are refers to the same string. Then we have two strings to compare. Since the configuration is asymmetric, these two strings must be distinct. Then we shall have a leading corner, say A = B. For this case, A is considered as the origin, and \overrightarrow{AD} is as the y-axis. There will be no agreement of the x-axis in this case but since all the robots are on the y-axis, so x-coordinate of the positions of the robots are 0 at this time.

If \mathcal{C} is asymmetric then a unique string can be elected and hence, all robots can agree on a global coordinate system. By 'up' ('down') and 'right' (left), we shall refer to the positive ('negative') directions of the x-axis and y-axis of the coordinate system, respectively. The robot responsible for the first 1 in this string is considered the head robot of \mathcal{C} and the robot responsible for the last 1 is considered the tail of \mathcal{C} . The robot other than the head and tail is termed the tail of tail and tail an

5.2 Target pattern embedding

Here we discuss how robots are supposed to embed the target pattern when they agree on a global coordinate system. The target configuration C_{target} is given with respect to some arbitrary coordinate system. Let the $\mathcal{R}' = A'B'C'D'$ be the SER of the target pattern, an $m' \times n'$ rectangle with $|A'D'| \geq |A'B'| \geq 1$. We associate binary strings similarly for \mathcal{R}' as done for \mathcal{R} . Let $\lambda_{A'B'}$ be the lexicographically largest (but may not be unique because the C_{target} can be symmetric) among all other strings for \mathcal{R}' . The first target position on this string $\lambda_{A'B'}$ is said to be head-target and denoted as h_{target} and the last target position is said to be tail-target and denoted as t_{target} . The rest of the target positions are called inner target positions. Then the target pattern is to be embedded such that A' is the origin, $\overrightarrow{A'B'}$ direction is along the positive x-axis, and $\overrightarrow{A'D'}$ direction is along the positive y-axis. Let the SER of the target pattern be a line A'D', and let $\lambda_{A'D'}$ be the lexicographically largest string between $\lambda_{A'D'}$ and $\lambda_{D'A'}$. Then the target is embedded in such a way that A' is at the origin and

 $\overrightarrow{A'D'}$ direction is along the positive y-axis. We define, $C'_{target} = C_{target} \setminus \{t_{target}\}$ and $C''_{target} = C_{target} \setminus \{h_{target}, t_{target}\}$.

5.3 Outline of the Proposed Algorithm

The algorithm is logically divided into seven phases. A robot infers itself in a phase from the configuration visible at that time. It does it by checking if certain conditions are fulfilled or not. These conditions are expressed in Table 2. We assume that in a visible configuration, no robot is seen on an edge. We maintain such assumption by an additional condition that, if a robot sees a configuration where a robot is on an edge then discard the snapshot and go to sleep.

Table 2. Set of conditions on an asymmetric configuration $\mathcal C$ having SER ABCD such that the origin is at A

C_0	$ \mathcal{C} = \mathcal{C}_{target} $
C_1	$C' = C'_{target}$
C_2	$C'' = C_{target}^{tr}$
C_3	x -coordinate of the tail = x -coordinate of the t_{target}
C_4	There is no robot except the tail and target position on or above H_t , where
	H_t is the horizontal line containing the tail
C_5	y-coordinate of the tail is odd
C_6	SER of C is not a square
C_7	There is no robot except the tail and target position on or at the right of V_t ,
	where V_t is the vertical line containing the tail
C_8	The head is at origin
C_9	If the tail and the head is relocated respectively at C and A , then the new
	configuration remains asymmetric
C_{10}	\mathcal{C}' has a symmetry with respect to a vertical line

5.4 Detail discussion of the phases

Phase I A robot infers itself in Phase I if $\neg(C_4 \land C_5 \land C_6) \land \neg(C_1 \land C_3)$ is true. In this phase, the tail moves upward and all other robot remains static. the aim of this phase is to make $C_4 \land C_5 \land C_6$ true.

Theorem 4. If at some time t, we have an asymmetric configuration C in phase I, then after one move of the tail upwards the tail robot remains the tail and $\neg(C_1 \land C_3)$ remains true. Also, after a finite number of moves by the tail upwards $C_4 \land C_5 \land C_6$ becomes true.

Proof. Let ABCD be the SER of the configuration at time t and after a movement of the tail, say r_t , upwards the configuration becomes \mathcal{C}' . Let ABC'D' be the SER of \mathcal{C}' , then |AB| < |AD'| and r_t is the only robot on C'D' line-segment. Let A be the leading corner of the \mathcal{C} . Note that movement of r_t is such that neither C' nor D' can be the leading corner of \mathcal{C}' . If C_{10} is true, then B is the leading corner of \mathcal{C}' . Otherwise, A remains the leading corner of \mathcal{C}' . In both cases configuration remains asymmetric and the tail remains the tail. If either of C_1

or C_3 is false in \mathcal{C} then that remains false in \mathcal{C}' . Now it is easy to observe that after a finite number of movements of the r_t upwards $C_4 \wedge C_5 \wedge C_6$ becomes true. \square

Phase II A robot infers itself in Phase II if $(C_4 \wedge C_5 \wedge C_6 \wedge \neg C_8) \wedge ((C_2 \wedge \neg C_3) \vee \neg C_2)$ is true. In this phase, the head moves towards the left, and other robots remain symmetric. This phase aims to make C_8 true.

Theorem 5. If at some time t, we have an asymmetric configuration C in phase II, then after one move of the head towards left the new configuration remains asymmetric and the coordinate system remains unchanged. Also, after a finite number of moves by the head, C_8 becomes true, and phase II terminates with $(C_4 \wedge C_5 \wedge C_6 \wedge C_8) \wedge (\neg C_2 \vee (\neg C_3 \wedge C_2))$ true.

Proof. Let ABCD be the SER of $\mathcal C$ such that $|AD| \geq |AB|$ and λ_{AB} be the lexicographically largest string. Let i^{th} term of λ_{AB} be the first nonzero term. After the movement of the head towards left if λ_{AB}^{new} is the updated string, then $(i-1)^{th}$ term of λ_{AB}^{new} be the first nonzero term. And the first (i-1) terms of the rest of the other considered strings are zero. Therefore, λ_{AB}^{new} is the strictly largest string after the movement of the head towards the left. Hence the new configuration remains asymmetric and the coordinates system does not change. After a finite number of movements of the head towards the left, it reaches the origin that results $C_8 =$ true.

Phase III A robot infers itself in Phase III if $C_4 \wedge C_5 \wedge C_6 \wedge C_8 \wedge \neg C_2 \wedge \neg C_7$ is true. The aim of this phase is to make C_7 true. In this phase, there are two cases to consider. The robots will check whether C_{10} is true or not. If C_{10} is false, then it robots check whether C_9 is true or not. If C_9 is not true then the tail moves upward. Otherwise, the tail moves right or upwards in accordance with m > n+1 or m=n+1. If C_{10} is true, then the tail moves left or upwards in accordance with m>n+1 or m=n+1. Other robots remain static in both cases.

Theorem 6. If at some time t we have an asymmetric configuration C in phase III such that C_{10} is not true, then after one move of the tail the configuration remains asymmetric and the coordinate system remains unchanged. Also, after one move by the tail towards the right, we still have $\neg C_2 \wedge C_4 \wedge C_8 = true$. After a finite number of moves by the tail $C_4 \wedge C_5 \wedge C_6 \wedge C_7 \wedge C_8 \wedge C_9 \wedge \neg C_2$ becomes true.

Proof. Let ABCD be the SER of the \mathcal{C} with λ_{AB} be the lexicographically largest string. If C_9 is false then after one movement of the tail upwards C_9 becomes true and finally, the configuration satisfies $C_4 \wedge C_5 \wedge C_6 \wedge C_8 \wedge C_9 \wedge \neg C_2 \wedge \neg C_7 =$ true. Suppose, C_9 is true. Let after one movement of the tail the SER remains the same. Then C_6 remains true and so we need to compare four strings. Note that the D node is empty. Since A node is occupied (because C_8 is true), so λ_{AB}^{new} remains larger than λ_{DC}^{new} . Since C_{10} is false, so by the movement of tail

robot λ_{AB}^{new} remains larger than λ_{BA}^{new} . So we need to compare only λ_{AB}^{new} and λ_{CD}^{new} . It is easy to see that after the movement of the tail λ_{AB}^{new} remains the largest string. Thus, the configuration remains asymmetric and the coordinate system remains unchanged. it is easy to observe that after the movement in this case $\neg C_2 \land C_4 \land C_5 \land C_6 \land C_8 \land C_9$ remains true. Next, suppose after one movement of the tail the SER gets changed. This can occur in two ways: either when m = n + 1 and the tail moves upward or when the tail is at C and it moves rightwards. Suppose m = n + 1 and the tail moves upward. Let the SER become ABC'D' after the move. In Theorem 4, it is shown that if C_{10} is false and the tail moves upward then the configuration remains asymmetric and the coordinate system does not change. Suppose the tail is at C and it moves rightwards. Let the new SER be AB'C'D. Then note that B' and D are empty but A and C' are occupied. Since before the move of the tail m > n + 1, AB'C'D is a nonsquare rectangle. Then we have to compare only two strings $\lambda_{AB'}^{new}$ and $\lambda_{C'D}^{new}$. It is easy to see that $\lambda_{AB'}^{new}$ remains the largest string. Thus, the configuration remains asymmetric, and the coordinate system remains unchanged. It is easy to show that $C_4 \wedge C_6 \wedge C_8$ remains true for both the movements. For both types of movements, $C_4 \wedge C_6 \wedge C_8 \wedge C_9$ remains true. If C_5 becomes false during the upward movement, the algorithm enters into Phase I. And the tail moves one hop upward to make C_5 true. After that, the algorithm will again enter into Phase III. Thus, after a finite number of movements of the tail robot C_7 becomes true.

Theorem 7. If at some time t we have an asymmetric configuration C such that C_{10} is true, then after a finite number of moves by the tail $C_4 \wedge C_5 \wedge C_6 \wedge C_7 \wedge C_8 \wedge C_9 \wedge \neg C_2$ becomes true. The configuration remains asymmetric during the movement of the tail.

Proof. Let ABCD be the SER of the C with λ_{AB} be the lexicographically largest string. Let Suppose L be the line of symmetry of \mathcal{C} . Since \mathcal{C} is asymmetric, the head and the tail must be on the same side of the L. Suppose after one movement of the tail towards the left the SER remains the same. Since the height of the configuration is odd, λ_{AB}^{new} becomes larger than before whereas λ_{BA}^{new} becomes smaller than before. So, if after the move the tail does not reach D, then λ_{AB} remains the largest string. Suppose, after the movement, the tail reaches D. Because C is empty node, so λ_{DC} is smaller than both λ_{AB} and λ_{BA} . Thus if the SER remains the same after the movement of the tail then λ_{AB} remains the largest string. So the configuration remains asymmetric and the coordinate system does not change. Suppose after the movement of the tail the SER changes. If the tail's movement upward is responsible for the change of the SER then using the same argument of Theorem 6 the configuration remains asymmetric and the coordinate system does not change. Suppose the tail's movement towards the left is responsible for the change of the SER. Then according to Phase III, the SER is still a non-square rectangle. Let the new configuration be A'BCD'. Note that only, B and D' are occupied corners. Clearly, $\lambda_{BA'}$ is the larger one. Thus, the configuration remains asymmetric but the coordinate system changes. After this movement C_{10} becomes false. Thus, after a finite number of moves towards

left it reaches at the corner D. Then the algorithm might enter Phase I and after a finite move upwards the algorithm again enters into Phase III. Then after one movement of the tail towards the left makes C_{10} false so from Theorem 6, after a finite number of moves by the tail $C_4 \wedge C_5 \wedge C_6 \wedge C_7 \wedge C_8 \wedge C_9 \wedge \neg C_2$ becomes true.

Phase IV A robot infers itself in Phase IV if $C_4 \wedge C_5 \wedge C_6 \wedge C_7 \wedge C_8 \wedge \neg C_2$ is true. In this phase, the inner robots execute function Rearrange to make C_2 true.

Function Rearrange In this function inner robots move to take their respective target positions. Let \mathcal{C} be the current configuration. Let ABCD be the SER of \mathcal{C} . According to the assumption exactly two nonadjacent vertices are occupied by robots in rectangle ABCD. Specifically, these two robots are the head and the tail of the configuration. Let the head and tail be located at A and C respectively. Consider the path \mathcal{P} starting from A to C as mentioned in bold edges in Fig. 3. Inner robots adopt Algorithm 1 considering this path as the line. Here, we define a robot r' at the left (right) side of r if r' is closer to the head (tail) than r in \mathcal{P} . Let us order the target positions. Denote h_{target} as t_1 , then the next closest target position from the head in \mathcal{P} as t_2 . Similarly, denote the i^{th} closest target positions in \mathcal{P} from the head as t_i . Note that, t_k is the t_{target} . Similarly order all the robots, $\{r_i\}_{i=1}^k$, where r_1 is the head and r_i (i > 1) is the i^{th} closest robot from the head on \mathcal{P} .

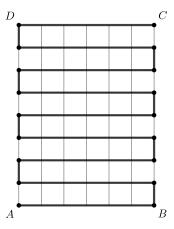


Fig. 3. Path joining the nodes A and C mentioned in bold edges

If t_i is at the left of r_i and there are no other robots in the sub-path of \mathcal{P} starting from the position of r_i to t_i , then r_i moves to t_i . The movement strategy is described as follows. If r_i and t_i are at the same vertical (or, horizontal) line then r_i moves through the vertical (or, horizontal) line joining r_i and t_i . Suppose, r_i and t_i are not at the same vertical line or horizontal line. If the

downward adjacent vertex of r_i is at the right of t_i then r_i moves downwards. If the downward adjacent vertex is at the left of t_i , then r_i moves to its left adjacent node on \mathcal{P} .

If there is no robot r_j such that t_j is at the left of r_j , then movements of an inner robot towards right start. If t_i is at the right of r_i , and there are no other robots in the sub-path of \mathcal{P} starting from the position of r_i to t_i , then r_i moves to t_i . The movement strategy is described as follows. If r_i and t_i are at the same vertical (or, horizontal) line then r_i moves through the vertical (or, horizontal) line joining r_i and t_i . Suppose, r_i and t_i are not at the same vertical line or horizontal line. If the upward adjacent vertex of r_i is at the left of t_i then r_i moves upwards. If the upward adjacent vertex is at the right of t_i , then t_i moves to its right adjacent on node \mathcal{P} .

Algorithm 2: Function Rearrange

```
1 if t_i is at the left of r_i then
 2
        if there are no other robot in the sub-path of P starting from position of r_i to t_i
             if r_i and t_i are at the same vertical (or, horizontal) line then
                  r_i moves towards t_i through the vertical (or, horizontal) line joining r_i and t_i;
                 if the downward adjacent vertex of r_i is at the right of t_i then
 6
                      r_i moves downwards;
                      r_i moves to its left adjacent node on \mathcal{P};
   else if t_i is at the right of r_i then
10
        if there is no inner robot r_j such that t_j is at the left of r_j then
11
12
             if there are no other robot in the sub-path of P starting from position of r_i to
13
                 if r_i and t_i are at the same vertical (or, horizontal) line then
14
                      r_i moves towards t_i through the vertical (or, horizontal) line joining r_i
                 else
15
                      if the upwards adjacent vertex of r_i is at the left of t_i then
16
17
                           r_i moves upwards;
                      else
18
                          r_i moves to its right adjacent node on \mathcal{P};
19
```

Theorem 8. If at some time t, we have an asymmetric configuration \mathcal{C} in phase IV, then after any movement of inner robots according to the function rearrange, the new configuration remains still asymmetric and the coordinate system remains unchanged. During these movements of inner robots, $C_4 \wedge C_5 \wedge C_6 \wedge C_7 \wedge C_8$ remains true. After a finite number of movements of inner robots according to the function rearrange C_2 becomes true.

Proof. Let ABCD be the SER of C with λ_{AB} as the largest string. First, we show that no inner robot moves to the BC line. On the contrary, Suppose an inner robot r_i lands at a node v_2 on the BC line from a point v_1 at the left

side of v_2 . There are two cases: v_1 is at the left of v_2 or v_1 is at the right of v_2 . Suppose, v_1 is at the left of v_2 . Then consider the downwards nodes u_1 and u_2 of v_1 and v_2 respectively. t_i cannot be at u_2 or v_2 , because there is no target position on BC line segment. If t_i is at u_1 then according to rearrange r_i shall move to u_1 . Otherwise, t_i can be at the left of the u_1 . In that case, if u_1 is occupied by a robot then there is a robot in between v_i and v_i in the path v_i . In that case, v_i does not move according to rearrange. Otherwise, v_i is unoccupied, then according to rearrange v_i shall move to v_i . Thus, in all the cases, v_i does not move to v_i . Similarly, if v_i is at the right of v_i , then also we can show that that v_i does not move to v_i . Next, since v_i is true, there is no target position of the line segment v_i above it. For this reason, we can show that no inner robot moves to v_i line segment according to rearrange.

Suppose r_i is an inner robot. Note that in C, A and C corners of SER are occupied and others are not. Movements of inner robots are designed such that SER does not change with that. Because C_7 (C_4) is true, there is not any other robot except the tail or any target position on the BC (CD) line. We showed that no inner robot ever moves on to BC line segment in function rearrange. So, B remains unoccupied after the movement of r_i . Next, we showed that no inner robot moves to the CD line segment, so D remains unoccupied after the movement of r_i . Thus, it is sufficient to consider only two strings λ_{AB} and λ_{CD} .

Note that, strings λ_{AB} and λ_{CD} are the two binary strings of the path \mathcal{P} from the different ends. Now, the movements of the inner robots are designed in such a way that if t_i is at the left (right) of r_i then r_i moves to a point that is at the left (right) of the r_i . This is an adoption of the Algorithm 1. Thus, from Lemma 1 and Lemma 2, it is evident that during the movement of the inner robots, λ_{AB} remains the larger string. Thus, the configuration remains asymmetric and the coordinate system does not change. Since the head and the tail do not move at all, all inner robot moves inside the SER formed by the head and the tail, and no inner robot moves onto line-segment BC or CD, so $C_4 \wedge C_5 \wedge C_6 \wedge C_7 \wedge C_8$ remains true throughout the movements of the inner robots. Also from Lemma 3, after a finite time, all inner robots take their respective positions making C_2 true.

Phase V A robot infers itself in Phase V if $C_2 \wedge C_4 \wedge C_5 \wedge C_6 \wedge C_8 \wedge \neg C_3$ is true. In this phase, the tail moves horizontally to make C_3 true. Let H_t be the horizontal line containing the tail and T' be the point on the H_t that has the same x-coordinate with t_{target} . If C_{10} is not true then the tail moves horizontally towards T'. Next let C_{10} be true. Let ABCD be the SER of the current configuration C and AB'C'D' be the SER of the C'. Let C'' be the point where line B'C' intersects with H_t . Let E be the point on the H_t . Let the tail robot be at T. If both T and T' are at the right side of C'' or in on the line segment DE, then the tail moves towards T'. Otherwise, the tail moves leftward.

Theorem 9. If at some time t, we have an asymmetric configuration C in phase V, then after a finite number of movements of the tail $C_2 \wedge C_3 \wedge C_4 \wedge C_5 \wedge C_6 \wedge C_6$

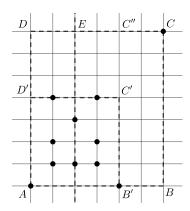


Fig. 4. An image related to Phase V

 $C_5 \wedge C_6 \wedge C_8$ becomes true. If at this point the configuration has vertical symmetry then C_1 must be true.

Proof. Let ABCD be the SER of C with λ_{AB} as the largest string. Let AB'C'D' be the SER of the C'. Suppose the tail is at T. Suppose C_{10} is not true. Since C_4 is true, for this case, throughout the movement of the tail towards T' the configuration remains asymmetric and the coordinate system remains unchanged. After a finite number of movements, C_3 becomes true. Next, suppose C_{10} is true. In this case, if T and T' both are on the DE line segment then it is easy to see that the coordinate system remains invariant during the movement of the tail. Also, if both T and T' are at the right side of the C''', then the tail remains the tail while the movement of the tail towards T'.

Next, suppose T is on the DE line segment but T' is at the right of the C''. In this case, the tail moves leftward. When the tail moves at the left of the D, then the coordinate system flips. The tail remains the tail but the robot at B' becomes the head. Then it reduces it to one of the previous cases. Next, suppose T' is on the DE line segment but T is at the right of the C''. In this case, the tail moves leftwards towards T'. When the tail reaches C'', the coordinate system flips and the robot at B' becomes the head. Thus, it again reduces to one of the previous cases. Therefore, C_3 becomes true after a finite number of movements of the tail.

After C_3 becomes true, if the configuration has a vertical symmetry then the T' must be E which coincides with a grid node. Before the tail moved on E the configuration was asymmetric. Without loss of generality let A be the leading corner. Since C_8 was true in this phase, A was occupied by the head robot. So after C_3 becomes true, A is occupied. Due to the symmetry, B' must be occupied by a robot. According to the embedding of the target pattern, both the left and the right bottom corners also have target positions. If possible let both A and B' are not unoccupied by any target position. This gives, at this point three robots three robots are not at their target position, which contradicts the assumption

 C_2 = true according to which k-2 inner robots are at their target positions. Since A and B' both are occupied by the robots, the h_{target} must be occupied. Thus, C_1 is true.

Phase VI A robot infers itself in Phase VI if $\neg C_1 \land C_2 \land C_3 \land C_4 \land C_5 \land C_6$ is true. In this phase, the head moves horizontally to reach h_{target} . After the completion of this phase, $\neg C_0 \land C_1 \land C_3$ becomes true.

Theorem 10. If at some time t, we have an asymmetric configuration in phase VI, then after a finite number of movements by the head towards h_{target} , $C_1 \wedge C_3 \wedge C_4 \wedge C_5 \wedge C_6$ becomes true. If the configuration becomes such that it has a vertical symmetry then C_{target} has the same.

Proof. Suppose ABCD is the SER of the current configuration \mathcal{C} with λ_{AB} as the largest string. Suppose the head robot is at H in \mathcal{C} and h_{target} is at H'. First, suppose the H' is at the left of the H. Then following from the Theorem 5, when the head moves towards the left, the configuration remains asymmetric and the coordinates system remains the same. Suppose the H' is at the right of the H. Suppose the tail is at T and the t_{target} is at T'. According to the target embedding, the SER of the embedded target pattern should also be ABC'D'with λ_{AB}^{target} as a lexicographically largest string, where T' is on the line segment C'D'. Since C_3 is true, T and T' are at the same vertical line. If the tail moves from T to T' and the head reaches from H to H', then the target is formed. Let \mathcal{C}_h be the configuration if the head moves from H to H'. Then λ_{AB}^{target} will be largest string in C_h . λ_{AB}^{target} may not be the strictly largest string in C_h , only when C_{target} has a vertical symmetry. In both cases, while the movement of the head the configuration remains asymmetric and the coordinate system does not change. Therefore, after a finite number of moves by the head C_1 becomes true. And, throughout the movements of the head, $C_3 \wedge C_4 \wedge C_5 \wedge C_6$ remains true.

Phase VII A robot infers itself in Phase VII if $\neg C_0 \land C_1 \land C_3$ is true. In this phase, the tail moves vertically to reach t_{target} .

Theorem 11. If at some time t we have a configuration C within phase VII, then after a finite number of movements of the tail towards t_{target} , C_0 becomes true.

Proof. Suppose ABCD is the SER of the current configuration \mathcal{C} . Let \mathcal{C} be asymmetric. For such a case, let λ_{AB} be the lexicographically largest string. Suppose the tail is at T and t_{target} is at T'. If T' is above T, then there can be two cases: C_{10} is true or not. If C_{10} is true, then in Theorem 4 it is shown that when the tail moves upward the coordinate flips, but the tail remains the tail. Even if the coordinate system flips, due to the symmetry of \mathcal{C}' , C_1 remains true. So after a finite number of movements of the tail upwards, the tail reaches T' resulting in $C_0 =$ true. Next suppose C_{10} not true. Then from Theorem 4, it is shown that when the tail moves upward, the configuration remains asymmetric and the coordinate system remains unchanged. Therefore after a finite number

of movements of the tail upwards, the tail reaches T' resulting in C_0 = true. Next suppose, T' is below T. Let ABC'D' be the SER of the \mathcal{C}_{target} , then T' is on the C'D' and λ_{AB} is the largest string in \mathcal{C}_{target} . Since C_1 is true so, all the target positions are occupied but t_{target} . Thus, it is easy to see that if t_{target} moved upwards then λ_{AB} becomes the strictly largest string in new \mathcal{C}_{target} . Thus, when the tail moves from T to T', the λ_{AB} remains the strictly largest string.

Next, let \mathcal{C} be symmetric. Since the initial configuration is asymmetric, so the symmetric configuration can be formed via Phase V and Phase VI. Thus the symmetry is a vertical symmetry, resulting in $\lambda_{AB} = \lambda_{BA}$ as the strictly largest string. For both the Phase V and Phase VI, it terminates with $C_4 =$ true. Therefore, the T' is at the downward of the tail. Using the similar argument as above, $\lambda_{AB} = \lambda_{BA}$ remains the strictly largest string after each movement of the tail downwards until it reaches T'.

5.5 Correctness of the Proposed Algorithm

In this section, we prove the correctness of the proposed algorithm. First, we show that any initial asymmetric configuration for which C_0 is not true falls in one of the seven phases. Then we show that from any asymmetric initial configuration, the algorithm allows the configuration to satisfy C_0 = true after passing through several phases.

Lemma 6. Any asymmetric initial configuration, satisfying $C_0 = false$, falls under one and exactly one of the seven phases of the proposed algorithm.

Proof. From Fig 5 the proof follows.

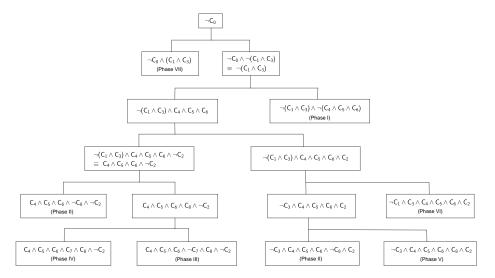


Fig. 5. For any configuration with C_0 = false belongs to one of the seven phases

Theorem 12. The proposed algorithm can form any pattern consisting of k points by a set of k oblivious asynchronous robots if the initial configuration formed by the robots is an asymmetric configuration and has no multiplicity point.

Proof. Let C_i be an initial asymmetric configuration formed by k robots with no multiplicity points. Let C_{target} be any target configuration consisting of k target positions. According to the Lemma 6, if $C_i \neq C_{target}$ then the algorithm starts from any of the seven phases. Suppose after a finite time the algorithm is in one of the seven phases, then we show that after finite time C_0 becomes true. If at some time the algorithm is in some specific phase, then next which phase the algorithm can enter. In Fig. 6, a digraph is given that shows the phase transitions. This digraph can be created from the support of Theorem 4, 5, 6, 7, 8, 9, 10, 11. The only cycle in the digraph is the cycle induced by phase II and phase III. From Theorem 6 and Theorem 7, we can conclude it does not create any live-lock there. From the diagram, we can conclude that any path starting from any of the phases leads to phase VII after finite time. From Theorem 11, the phase VII results $C_0 =$ true within finite time.

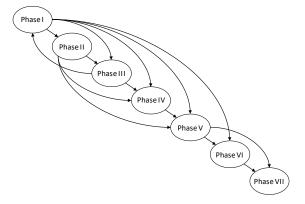


Fig. 6. Phase transition digraph

5.6 Space complexity and move complexity of the proposed algorithm

Recall the Definition 1 of the space complexity of an algorithm executed by a set of robots on an infinite rectangular grid. In Theorem 13, we calculate the space complexity of the proposed algorithm.

Theorem 13. Let $\mathcal{D} = \max\{m, n, m', n'\}$ where $m \times n$ $(m \ge n)$ is the dimension of the SER of the initial configuration and $m' \times n'$ $(m' \ge n')$ is the dimension of the SER of the target configuration. Then space complexity of the proposed algorithm is at most $\mathcal{D} + 4$.

Proof. The tail robot is the only robot that moves out of the current SER. The head robot only moves in Phase II and Phase VI and the head robot either moves to the corner or the current SER moves towards h_{target} . In Phase IV the inner robots move but they do not move outside the current SER throughout the function Rearrange. In the rest of the Phases I, III, V, VII, the tail robot moves only. The tail robot is responsible for expanding the size of the configurations. Consider the rectangle $\mathcal R$ of dimension $\mathcal D \times \mathcal D$ that contains the initial configuration and the embedded target pattern. Next, let us define the size of a still configuration $\mathcal C$ is the dimension of the smallest enclosing square that contains all the robots in $\mathcal C$.

In Phase I, the tail moves upward. If the initial configuration already satisfies $C_4 \wedge C_6 \wedge \neg C_5 =$ true then in order to make C_5 true, the tail has to move one hop upwards, outside the \mathcal{R} . Thus, the size of the current SER becomes $\mathcal{D}+1$. If for the initial configuration, C_4 is true but C_6 is not true. Then by one movement upwards C_6 becomes true. If after this C_5 is not true, then one more movement upwards by tail makes C_5 true. So, finally, the size of the SER is $\mathcal{D}+2$. If C_4 is not true for the initial configuration, then the tail moves upward until it reaches the horizontal line t_{target} . After this, the tail moves one step upwards to make C_4 true, and C_6 becomes true with this move. If at this point C_5 is not true then it again moves upwards one hop. Thus, the size of the SER becomes $\mathcal{D}+2$.

Next, suppose the algorithm enters Phase III from Phase I. Suppose C_{10} is false. If C_9 is not true then the tail moves upwards and C_9 becomes true. After that, only C_5 will become false and the algorithm enters into Phase I again. After one move of the tail upwards in Phase I, C_5 becomes true. The algorithm again enters in Phase III with C_9 = true. At this point m > n + 1, because when the first time comes from Phase I, C_6 = true assures $m \ge n$ and while the second time entering Phase I, the upward movement of tail assures m > n + 1. Thus, more two upward movements by the tail take place making the size of the SER $\mathcal{D}+4$. Next if C_{10} is true, then on being $C_9=$ false the tail moves upward. Again for the same reason as the last case finally the size of the SER becomes $\mathcal{D}+4$ when all the upward movements of the tail are done. Now in order to make C_7 true the tail robot at most needs to step away one hop rightwards or leftwards form \mathcal{R} . So after making C_7 true the size of the SER remains at most $\mathcal{D}+4$. Now, one can easily verify from the above argument that if the initial configuration is such that the algorithm first enters Phase III, then it terminates with making the size of the SER at most $\mathcal{D} + 2$.

In Phase V the tail moves only on the horizontal line H_t that it contains and moves towards the T' on the H_t such that T' and t_{target} are on the same vertical line. If at the beginning of this phase, the tail is inside the \mathcal{R} then it does not step out of it. If the tail is outside the \mathcal{R} then the horizontal movements of the tail do not increase the size of the current SER. Thus movements of the tail in this phase do not consume extra space.

In Phase VII, the tail moves towards the t_{target} vertically. It is easy to see that the movements of this phase also do not consume any extra space.

Thus, all the robots move inside a $(\mathcal{D}+4)\times(\mathcal{D}+4)$ dimensional square containing the \mathcal{R} . Therefore total space consumed by the proposed algorithm is at most $\mathcal{D}+4$.

Next, we find out the move complexity of the proposed algorithm. Move-complexity of an algorithm executed by a set of robots on a rectangular grid is the total number of movements made by the robots, where one *movement* of a robot is considered as a movement from a node to one of its adjacent nodes. The move-complexity is recorded in the following Theorem 14.

Theorem 14. The proposed algorithm requires each robot to make $O(\mathcal{D})$ movements, hence the move-complexity of the proposed algorithm is $O(k\mathcal{D})$.

Proof. First, consider the movement of the head robot. When the head robot moves towards the origin in Phase II, from Theorem 5 it remains the head throughout the movement. It is easy to see that, for this case total number of moves is almost $\mathcal{D}/2$. In Phase VI, when the head moves towards h_{target} , the head remains the head. In this phase at most $\mathcal{D}/2$ moves by the head robot is required. Thus total number of moves by the head robot is at most \mathcal{D} .

Next, consider the tail robot movements. In Phase I, the tail robot needs to move upward at most \mathcal{D} steps to make C_4 true. Further, to make C_5 and C_6 true, the tail needs to move at most two steps upward. In Phase III, the tail needs to move horizontally at most $\mathcal{D}+1$ steps. In Phase V, again the tail robot moves horizontally at most $\mathcal{D}+1$ steps. In Phase VII, the tail moves vertically at most $\mathcal{D}+k_0$ steps, where k_0 is a constant less than \mathcal{D} . Thus, the tail needs to move $O(\mathcal{D})$ steps in total.

Next, consider the movements of the inner robots. Suppose r_i is an inner robot located at point R_i in the initial configuration. Let t_i be the corresponding target position of r_i . If t_i and R_i are on the same horizontal line, then after that r_i moves horizontally towards t_i and after reaching t_i never moves afterward. Otherwise, suppose t_i is at the left of the R_i . Then t_i is on a horizontal line downwards to that of R_i . Until the downwards node of r_i is on the horizontal line that contains t_i , the downward node of the r_i will always be at the right of t_i . So, r_i moves downwards through the vertical line containing R_i . Suppose r_i and t_i are on a neighboring horizontal line. There are two cases: the downward node of r_i at the left of the t_i or at the right of the t_i . If the downward node of r_i at the left of the t_i , then the r_i moves downwards, and r_i and t_i are on the same horizontal line. After that r_i moves towards t_i through the horizontal line that contains both. Thus, it is easy to see that the path traveled by the r_i to reach t_i has a minimum length which is at most $2\mathcal{D}$. Next, suppose the downward node of r_i at the right of the t_i . Then the r_i moves to its left. It keeps moving towards its left until r_i and t_i are on the same vertical line. Then after one movement downwards r_i reaches t_i . In this case, the path traveled by the r_i to reach t_i has a minimum length which is at most $2\mathcal{D}$. Thus, in either case, the r_i moves at most $2\mathcal{D}$ steps.

If t_i is at the right of the R_i , then one can similarly show that the path traversed by r_i from R_i to t_i has length at most $2\mathcal{D}$. Thus each robot makes $O(\mathcal{D})$ moves throughout the execution of the algorithm. Hence the result follows. \square

6 Conclusion

This work first provides an algorithm that solves the Arbitrary Pattern Formation (APF) problem in an infinite line by a robot swarm. Then adopting the method, it provides another algorithm that solves APF in an infinite rectangular grid by a robot swarm. The robots are autonomous, anonymous, identical, and homogeneous. The robot model used here is the classical \mathcal{OBLOT} model where the robots are oblivious i.e., have no persistent memory, and silent i.e., explicit communication ability. The robots work under a fully asynchronous scheduler. The proposed algorithm is almost space-optimal (Theorem 13) and asymptotically move-optimal (Theorem 14).

A few limitations of this work are the following. Here we assume that the initial configuration is asymmetric. Finding complete characterization of the initial configurations from which APF can be solved deterministically is an interesting future direction. Then the version of the APF do not allow multiplicity point in the target configuration, i.e., the number of target positions is equal to the number of robots present in the system. Solving a more generalized version of the problem that allows target positions with target positions less than total number of robots, is a possible future direction. Next, the proposed algorithm is almost space optimal, so finding out the exact lower bound when starting from an asymmetric initial configuration is an interesting direction. Also, this does not consider time-optimality, so consider all the three parameters space, move and time at the same time can be an interesting future work.

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